

XI Plan Guidelines
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UNIVERSITY GRANTS COMMISSION
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NEW DELHI

No.F.2-49/2008(XI Plan)

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Summary of UGC XI Plan Guidelines for Colleges - - Pattern of Financial Assistance

***GUIDELINES FOR DEVELOPMENT
GRANT TO COLLEGES
(Includes 14 merged schemes)***

Assistance for Development of Undergraduate Education

The University Grants Commission will provide assistance for the development of undergraduate education only to such colleges which fulfill the conditions as indicated below:

The college must have at least a minimum number of full-time permanent/regular teachers including the Principal and the Physical Training Instructor/Director of Physical Education. The Librarian may also be included, in case he/she is involved in teaching. The college (except for Single Faculty colleges) must have at least three teaching departments in a faculty (excluding the compulsory courses).

i. Arts/Commerce Colleges: The college should have a minimum of 8 (eight) full-time teachers (relaxable to 6 teachers in case of Government Colleges)

Student Enrolment Ceiling of Assistance

- | | |
|-------------------|------------|
| 1. Up to 400 | Rs.11 lakh |
| 2. 401 to 1000 | Rs.13 lakh |
| 3. 1001 to 1500 | Rs.14 lakh |
| 4. 1501 to 2000 | Rs.15 lakh |
| 5. 2001 to 2500 | Rs.16 lakh |
| 6. 2501 to 3000 | Rs.17 lakh |
| 7. 3001 and above | Rs.18 lakh |

ii. Science/ Multi-faculty Colleges

The College should have a minimum of 12 (twelve) fulltime permanent/regular teachers (relaxable to 10 teachers in case of Government Colleges)

Ceiling of Assistance

- | | |
|-------------------|------------|
| 1. Up to 400 | Rs.14 lakh |
| 2. 401 to 1000 | Rs.16 lakh |
| 3. 1001 to 1500 | Rs.17 lakh |
| 4. 1501 to 2000 | Rs.18 lakh |
| 5. 2001 to 2500 | Rs.19 lakh |
| 6. 2501 to 3000 | Rs.20 lakh |
| 7. 3001 and above | Rs.21 lakh |

If a college under any of the above two categories is running professional courses involving field work and culminating in a degree, it will be entitled for an additional grant of up to Rs.1 lakh for each of these professional courses, in addition to its entitlement, as indicated above.

Professional courses which are self-financing will not be eligible for financial assistance.

iii. Single Faculty Colleges offering undergraduate courses leading to Bachelor's degree, like Law, Physical Education, Social Work, Management, Home Science, Music and Dance, Fine Arts, Sanskrit, Teacher Education etc.

The college should have a minimum of 5 (five) full-time permanent/regular teachers.

Ceiling of Assistance

- | | |
|------------------|------------|
| 1. Up to 200 | Rs.10 lakh |
| 2. 201 to 400 | Rs.12 lakh |
| 3. 401 to 600 | Rs.14 lakh |
| 4. 601 and above | Rs.16 lakh |

iv. Colleges of Education offering B.Ed./ M.Ed./B.P.Ed./M.P.Ed. courses (General/Special)

The college should have a minimum number of 5 (five) full-time permanent/regular teachers.

Ceiling of Assistance

- | | |
|------------------|------------|
| 1. Up to 200 | Rs.10 lakh |
| 2. 201 to 400 | Rs.12 lakh |
| 3. 401 to 600 | Rs.14 lakh |
| 4. 601 and above | Rs.16 lakh |

Approval document of NCTE ***is required to*** be furnished.

2. Assistance for Development of Postgraduate Education

2.1. Single Faculty Colleges offering Post-graduate Course The College should have a minimum of 5 full time permanent / regular teachers. A college which has postgraduate departments and which satisfies the following conditions will be considered for assistance for the development of postgraduate education:

2.2.(i) Humanities and Social Science departments must have at least 4 (four) teachers including 2(two) teachers holding M.Phil / Ph.D. Degree.

2.2.(ii) Science departments and departments with Practicals/ Field Work must have at least 5 (five) teachers including 2 (two) teachers holding M.Phil/ Ph.D. Degree **on fulltime permanent/regular basis.**

2.2.(iii) The department must subscribe to at least 3 (three) standard academic journals in the subject.

2.2.(iv) The department should have shown sufficient potential for research in the **3 years preceding the date of submission of the proposal and may be indicated by at least one of the following or a combination thereof:**

a. At least one Major Research Project

b. At least three Minor Research Projects

c. At least five Research Papers published or accepted for publication in standard academic journals.

If the department is publishing any professional journals, the names and number of journals published may be indicated.

2.2.(v) The department must be running both first year and second year of the postgraduate class and the minimum strength of full-time students should be twenty. The ceiling of assistance for various Postgraduate departments would be as under:

a. Departments coming under **Rs.5.00 lakh** for

2.2(i) (Humanities and Social each department Science departments)

b. Departments coming under **Rs.8.00 lakh** for

2.2(ii) (Science departments each department and departments with Practicals/Field Work)

3. Assistance for overcoming damages caused by Natural Calamities/Disasters:

Assistance to colleges for overcoming damages caused by Natural Calamities/ Disasters (if any) will be a part of XI Plan General Development Assistance to College. The quantum of assistance would depend on the nature of calamity and availability of funds. The damages/ losses will be ascertained based on the recommendation of the District Magistrate/ Commissioner of the affected District in respect of each such College separately. The financial assistance would be on sharing basis in the ratio of 75%(UGC) and 25% State Government.

14 Merged Schemes :

a. Rejuvenation of Infrastructure in Old Colleges :

Under the scheme, the UGC will provide up to **Rs.15.00 lakh** towards the renovation of buildings, including classrooms, laboratories, staffroom, common room, hostels, etc. or for the construction/extension of classroom/ laboratory or other infrastructure, based on the most essential and critical requirements. The proposal will initially be approved “in principle” and final approval will be given after necessary documents, given in the Guidelines for the construction of buildings, are furnished. The UGC may send an Inspection Team to assess the condition of infrastructure available within the premises, ***if required.***

b. ‘Catch-up’ grant for Young Colleges :

The maximum a college may get under this scheme is **Rs.12.00 lakh** to fulfill the objectives of the scheme. The amount allocated for building should not exceed **Rs.9.00 lakh**. *Procedure for release of grant*

a) Grant allocated for books and equipment shall be released as 1st instalment.

b) Grant for building will be released in accordance with the building guidelines after submission of all requirement documents.

c. Colleges located in Rural/Remote/Border/ Hill/ Tribal Areas

A college would be eligible for up to **Rs.10.00 lakh** for the following:

- Accommodation for teachers/students on rental basis.
- Providing conveyance allowance (maximum **Rs.500/-** per month for those covering the maximum distance) to students (no conveyance allowance will be provided during

vacation/leave/ recess which exceeds one week).

- Developing and implementing location-specific curricula.

d. Colleges with relatively higher proportion of SC/ ST/OBC (non-creamy layer) and Minorities :

A college would be eligible for up to **Rs.6.00 lakh** for the following:

Providing a stipend of Rs.500/- per month to 100 students belonging to the categories mentioned above, on merit-cum-means basis, to be selected by the college, for purchasing books, stationery and for incurring contingent expenditure.

e. Special grant for Enhancement of initiative capacity building in colleges:

A college would be eligible for a grant of up to **Rs.7.00 lakh** for courses where the intake capacity is to be increased or for introducing new courses, as under:

- Books & Journals
- Equipment
- Construction /extension of classroom and laboratory
- Furniture and fixtures for the newly constructed classroom/ laboratory.

To increase the intake capacity of an existing course, details of the number of students who applied during the last three academic sessions and the number actually admitted, may be furnished. For starting a new teaching programme, detailed justification may be given.

f. Establishment of Day Care Centres in Colleges:

To introduce the scheme, a one time lump-sum grant of **Rs.2.00 lakh** will be provided by the UGC to the college which is listed under Sections 2(f) and 12 B of the UGC Act. The grant should be utilized for acquiring essential facilities. The Day Care Centre is not run for profit to any individual or organization.

g. Colleges in Backward Areas :

The ceiling of assistance under this scheme will be **Rs.12.00 lakh**. A college may seek assistance for the construction of building - the type to be decided on the basis of the most critical requirements. ***The amount to be allocated for building construction should not exceed Rs.9.00 lakh.*** Since the availability of books is also associated

with the quality of higher education, a college with less than ten (10) books per student, must necessarily seek grant for books under this special scheme to make up for the deficiency. The college may seek grant for subscribing journals also. Where the number of PCs are not adequate (more than fifty students per PC), the college **may** seek grant for PCs to allow each student at least an hour per week of computer time. The college may also seek grant for other teaching equipment and scientific equipment, sports kit, based on its most critical needs.

h. Establishment of UGC- Network Resource Center (UGC-NRC) in the Colleges:

The ceiling of assistance is **Rs.3 lakh** for items given below: -

Hardware

Pentium PC (latest) with Color Monitor (latest version of Software preloaded) along with Printer & UPS

Software

MS Office or Smart Suite: Anti-virus

Fax Modem (Internal or External) Maintenance & Internet Connectivity

i. Remedial Coaching for Scheduled Castes, Scheduled Tribes, OBC (non-creamy layer) ,Minorities:

Non-recurring items – **not to exceed:**

i) Equipment : **Rs. 3.00 lakhs**

ii) Books and Journals and Study Materials : **Rs.2.00 lakhs**

Recurring items – **not to exceed Rs.10.00 lakhs** for the Plan period :

- Remuneration Honorarium to the Coordinator @ Rs.1000/- per month (applicable during the months when remedial coaching is being actually conducted plus honorarium for one extra month towards preparation/organization, but not to exceed Rs.12,000/- per annum) Theory Classes: Rs.250/- per hour, per subject to teachers, including retired teachers. Rs.150/- per hour, per subject to postgraduate students / research scholars. Practicals : Rs.75/- per hour

- However, in exceptional cases, where an eminent educationist is invited for special lecture, the remuneration of Rs.500/- per lecture and admissible Travelling Allowance 27 could be paid, with the approval of the Head of the Institution.

- Payment to Part-time LDC with Computer knowledge, not to exceed Rs.18,000/- per

annum.

- Contingency :
 - ii. Rs.10000/- per annum

j. Coaching for NET/SET for Scheduled Castes, Scheduled Tribes, OBC (non-creamy layer), Minorities:

Non-recurring items – **not to exceed:**

- i) Equipment : **Rs.2.50 lakhs**
- ii) Books and Journals and Study Materials : **Rs.1.00 lakh**

Recurring items – **not to exceed Rs.7.5 lakh** for the Plan period:

- Remuneration
- Honorarium to the Coordinator @ Rs.1000/- per month (applicable during the months when coaching is being actually conducted plus honorarium for one extra month towards preparation / organisation, but not to exceed Rs.12000/- per annum).
- Travelling expenses, honorarium of Rs.1000/- per diem (not less than three hours of contact teaching) to resource persons, hospitality to outstation resource persons.
- For teachers belonging to the college, Rs.250/- per hour, per subject.
- Payment to Part-time LDC with Computer knowledge, not to exceed Rs.18,000/- per annum.
- Contingency : Rs.10000/- per annum

k. Coaching Classes for entry in services for Scheduled Castes, Scheduled Tribes, OBC (non-creamy layer), Minorities:

Non-recurring items – **not to exceed :**

- i) Equipment : **Rs.3.00 lakhs**
- ii) Books and Journals and Study Materials : **Rs.2.00 lakhs**

Recurring items – **not to exceed Rs.10.00 lakhs** for the Plan period :

- Remuneration
- Honorarium to the Coordinator @ Rs.1000/- per month (applicable during the months when coaching is being actually conducted plus honorarium for one extra month towards preparation / organisation, but not to exceed Rs.12000/- per annum).
- Honorarium to resource persons @ Rs.500/- per lecture (of duration not less than sixty

minutes).

- Travelling expenses to resource persons from outside the College.
- Hospitality to outstation resource persons.
- Payment to Part-time LDC with Computer knowledge, not to exceed Rs.18,000/- per annum.
- Contingency : Rs.10,000/- per annum

I. Schemes for Persons with Disabilities:

(i) HIGHER EDUCATION FOR PERSONS WITH SPECIAL NEEDS (HEPSN)

The HEPSN scheme has three components. They are enumerated as follows:

Component 1

Establishment of Enabling Units for differently-abled persons:

In order to develop awareness in the higher education system and also to provide necessary guidance and counselling to differently-abled persons, it is proposed to establish resource units in colleges in the country, which will be called as Enabling Units. The functions of this Enabling Unit will be to:

1. facilitate admission of differently-abled persons in various courses;
2. provide guidance and counselling to differently-abled individuals;
3. create awareness about the needs of differently-abled persons, and other general issues concerning their learning; and
4. assist differently-abled graduates to gain successful employment in the public as well as private sectors. The special unit will be coordinated by a faculty member to be nominated by the head of the institution. He/she will work as honorary coordinator for which a token honorarium of Rs. 4000/- per month will be paid. The unit will also have a budgetary provision of Rs. 50,000/- per year towards general administration, stationery, contingencies, and to avail the services of experts and personnel for organising awareness programmes, counselling sessions, etc., for efficient and independent functioning in order to achieve its objectives.

The major functions of the Enabling Unit will be as follows:

- a. To provide counselling to differently-abled students on the types of courses they could study at the higher education institutions.
- b. To ensure admission of as many differently-abled students as possible through the open quota and also through the reservation meant for them.

- c. To gather orders dealing with fee concessions, examination procedures, reservation policies, etc., pertaining to differently-abled persons.
 - d. To assess the educational needs of differently abled persons enrolled in the higher education institutes to determine the types of assistive devices to be procured.
 - e. To conduct awareness programmes for teachers of the institute about the approaches to teaching, evaluation procedures, etc, which they should address in the case of differently-abled students.
 - f. To study the aptitude of differently-abled students and assist them in getting appropriate employment when desired by them after their studies.
 - g. To celebrate important days pertaining to disability such as the World Disabled Day, White Cane Day, etc., in the institute and also in the neighbourhood in order to create awareness about the capabilities of differently-abled persons.
 - h. To ensure maintenance of special assistive devices procured by the higher education institute under the HEPSN scheme and encourage differently-abled persons to use them for enriching their learning experiences.
 - i. To prepare annual reports with case histories of differently-abled persons who are benefited by the HEPSN scheme sanctioned to the higher education institute.
- An expert team appointed by the University Grants Commission will carry out evaluation of the functioning of the Enabling Unit from time-to-time to enrich their services to differently-abled persons.

Component 2

Providing Access to Differently-abled persons

It has been felt that differently-abled persons need special arrangements in the environment for their mobility and independent functioning. It is also a fact that many institutes have architectural barriers that disabled persons find difficult for their day-to-day functioning. The colleges under this scheme are expected to address accessibility related issues as per the stipulations of the Persons with Disabilities Act 1995, and ensure that all existing structures as well as future construction projects in their campuses are made disabled friendly. The institutes should create special facilities such as ramps, rails and special toilets, and make other necessary changes to suit the special needs of differently-abled persons. For this purpose, the UGC will make a one-time grant of up to **Rs.5.00 lakh** per college during the Plan period. The construction plans should clearly address the accessibility issues pertaining to disability. Guidelines on accessibility laid out by the office of the Chief Commissioner of Disabilities,

Government of India (website: www.ccdisabilities.nic.in) may be followed in the case of construction and making the environment disability friendly.

Component 3

Providing Special Equipment to augment Educational Services for Differently-abled Persons

Differently-abled persons require special aids and appliances for their daily functioning. These aids are available through various schemes of the Ministry of Social Justice and Empowerment. In addition to the procurement of assistive devices through these schemes, the higher education institute may also need special learning and assessment devices to help differently-abled students enrolled for higher education. In addition, visually challenged students need Readers. Availability of devices such as computers with screen reading software, low-vision aids, scanners, mobility devices, etc., in the institutes would enrich the educational experiences of differently-abled persons.

Therefore, colleges are encouraged to procure such devices and provide facility of Readers for visually challenged students. The UGC will provide an ad hoc one-time grant of up to Rs. 1.5 lakh per college during the Eleventh Plan period.

(ii) FINANCIAL ASSISTANCE TO VISUALLY CHALLENGED TEACHERS:

Allowance to visually challenged permanent teachers will be **Rs.18000/- p.a.** The amount is to be utilized for the following purposes:

- (a) Payment to Reader
- (b) Purchase of Braille Books/ Material
- (c) Purchase of recorded materials
- (d) Any other related/ required material/ equipment for research, teaching and learning. The amount payable to Reader shall not exceed Rs.50/- per hour. The College shall reimburse the amount to visually challenged teachers on receipt of the actual amount received by the Reader ***and actual expenditure incurred by the visually challenged teacher subject to a maximum of Rs.18000/- per annum. Bills, in original, will be required to be submitted by the teacher to the college. However, these are not required to be submitted to the UGC.***

m. Career and Counselling Cell in Colleges:

During the XI Plan period, the following financial assistance may be provided under the scheme:

- i) **Non-recurring : Rs.2 lakh** (Computers with internet for the entire period of the Plan), Laser Printer, Photocopier, FAX.
- ii) **Recurring : Rs.1 lakh p.a.** (Hiring charges, TA/DA for the services of a Counsellor, Resource persons, payment of Honorarium, Reading material, Contingency etc.)

Note: No regular teaching or non-teaching post under the scheme is permitted to be created or funded. Hundred per cent non-recurring grant and recurring grant for the first year will be released with the sanction of the proposal by the UGC. Thereafter the grant will be released on the basis of the receipt of Utilization Certificate and the consideration thereof by the Commission.

n. Equal Opportunity Centre in Colleges:

The UGC shall provide financial assistance to meet contingency expenditure, expenditure for organizing meetings and honorarium to the Advisor, as given below:

- i. Assistance to the Postgraduate Colleges and Undergraduate Colleges to the tune of Rs.50,000/- and Rs.30,000/- per annum respectively. The honorarium for the Advisor shall be at the rate of Rs.1000/- per month.
- ii. Rs.25,000/- per annum to organize a short-term course on positive discrimination of SC and ST for national development at the beginning of every academic session for newly enrolled students. At the end of the programme, the students may be asked to write a summary of what they have learnt and a certificate may be issued to them.